



Application No.: 10/091,791

Docket No.: CUMP.95837

1626
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Chappelow et al.
Application No. : 10/091,791
Filing Date : March 5, 2002
Title : NOVEL DIOXIRANYL TETRAOXASPIRO [5.5] UNDECANES
Group/Art Unit : 1626
Examiner : Deborah C. Lambkin
Confirmation No. : 8946
Docket No. : CUMP.95837

Box 16
Director, U.S. Patent and Trademark Office
Washington, D.C. 20231

Examiner Deborah C. Lambkin
Group Art Unit 1626
Commissioner of Patents
Washington, D.C. 20231

**TRANSMITTAL OF SMALL ENTITY STATEMENT
AND REQUEST FOR CREDIT TO DEPOSIT ACCOUNT**

Sir:

A "Response to Restriction Requirement and Request for Extension of Time" in the subject application was mailed April 21, 2003. The fee accompanying said paper in the amount of \$110.00 was based on the fees required for non-small entity applicants. Applicant has now, however, executed a Statement of Small Entity Status and same is enclosed herewith for inclusion in the above case.

Certificate of Mailing Under 37 C.F.R. 1.8

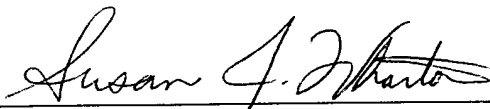
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Box 16, Director of the U.S. Patent and Trademark Office, Washington, D.C. 20231, and to Examiner D. Lambkin, Group Art Unit 1626, Commissioner for Patents, Washington, D.C. 20231 on:

Date: 4-25-03
Signature: *Teri Brown*
Printed Name: TERI BROWN

The Director is hereby authorized to charge any additional amount required, or credit any overpayment, to Deposit Account No. 19-4409.

It is respectfully requested that the amount of \$55.00, representing the difference between the fee paid and that required for small entity applicants, be credited to Deposit Account No. 19-4409.

Respectfully submitted,

By: 
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Telephone: (816) 842-8600
Facsimile: (816) 691-3495



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Chappelow et al.)
)
Serial No.: 10/091,791)
)
Filed: March 5, 2002)
)
Title: PHOTOPOLYMERIZABLE)
COMPOSITIONS THAT INCLUDE)
ALICYCLIC/AROMATIC)
DIOXIRANES AND NOVEL)
DIOXIRANYL TETRAOXASPIRO)
UNDECANES)

Attorney Docket No. CUMP.9337

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**VERIFIED STATEMENT (DECLARATION)
CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(d)) NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of Organization: Curators of the University of Missouri
Address: 615 Locust Street
Columbia, Missouri 65211

Type of Organization: University or other institution of higher education.

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, with regard to the invention entitled PHOTOPOLYMERIZABLE COMPOSITIONS THAT INCLUDE ALICYCLIC/AROMATIC DIOXIRANES AND NOVEL DIOXIRANYL TETRAOXASPIRO UNDECANES, by Cecil C. Chappelow, Charles S. Pinzino, David J. Eick, Andrew J. Holder, Shin-Shi Chen, and Li Jeang, described in application serial no. 10/091,791, filed March 5, 2002.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small

business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Name: _____
Address: _____
[] nonprofit organization

Name: _____
Address: _____
[] nonprofit organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: Thomas R. Sharpe, Ph.D.

Title in Organization: Executive Director, OTSP

Address of Person Signing: 615 Locust Street Bldg, Third Floor,
Columbia, MO 65211-1400

Thomas R Sharpe
Signature

11 April 2003
Date